

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	PB 03 0003
First Inventor	Oguz Tanrikulu
Title	METHODS AND APPARATUS FOR IMPROVING THE QUALITY OF SPEECH SIGNALS
Express Mail Label No.	ET 75 7952 011 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 49]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
5. Oath or Declaration [Total Sheets 4]
  - a.  Unexecuted copy of Declaration
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**  
 Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

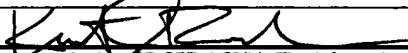
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
 For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number: \_\_\_\_\_ OR  Correspondence address below

Name	Kenneth J. Rudofski				
Address	Tellabs Operations, Inc. 1415 West Diehl Road, MS 16				
City	Naperville	State	Illinois	Zip Code	60563
Country	United States	Telephone	630-798-3021	Fax	630-798-3231

Name (Print/Type)	Kenneth J. Rudofski	Registration No. (Attorney/Agent)	35,997
Signature			
	Date	October 22, 2003	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

17548 U.S.P.T.O.  
10/6/91219

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,774.00)

## Complete if Known

Application Number	To be assigned
Filing Date	Herewith
First Named Inventor	Oguz Tanrikulu
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	PB 03 0003

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	500-654
Deposit Account Name	Tellabs Operations, Inc.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 770.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below	Fee Paid
Total Claims 71	-20** = 51	x 18 = 918
Independent Claims 4	- 3** = 1	x 86 = 86
Multiple Dependent		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 1,004.00)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

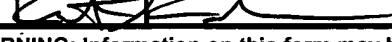
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

(Complete if applicable)

## SUBMITTED BY

Name (Print/Type)	Kenneth J. Budofski	Registration No. (Attorney/Agent)	35,997	Telephone	630-798-3021
Signature				Date	October 22, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Oguz Tanrikulu
Title	Methods and Apparatus for Improving the Quality of Speech Signals
Attorney Docket Number	PB 03 0003

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 22, 2003

Date



Signature

630-798-3021

Kenneth J. Rudofski

Telephone number

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: )  
Oguz Tanrikulu )  
Serial No.: To Be Assigned )  
Filed: Herewith )  
For: METHODS AND APPARATUS FOR IMPROVING )  
THE QUALITY OF SPEECH SIGNALS )

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Dear Sir:

"Express Mail" Mailing Label Number: ET 75 7952 011 US  
Date of Deposit: October 22, 2003  
Patent Application of: Oguz Tanrikulu  
Tellabs Docket No.: PB 03 0003  
Title of Patent Application: METHODS AND APPARATUS FOR IMPROVING  
THE QUALITY OF SPEECH SIGNALS

- Utility Patent Application Transmittal including Specification  
( 49 pages and 14 drawing sheets)
- Fee Transmittal for FY 2004 – in duplicate
- Unexecuted Declaration for Utility or Design Patent Application (37 CFR 1.63)  
And Power of Attorney (combined)
- Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
- Certificate of Mailing by "Express Mail"
- Postage Paid Return Receipt Post Card
- Transmittal Letter

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date: October 23, 2003

By:

Janet Missig  
Janet Missig



October 22, 2003

Kenneth J. Rudofski  
Assistant General Counsel,  
Intellectual Property

One Tellabs Center  
1415 West Diehl Road  
Naperville, IL 60563 U.S.A.  
Tel: +1.630.798.3021  
Fax: +1.630.798.3231

Tellabs Docket No.: PB 03 0003

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Presented for filing is a patent application of:

Applicant: Oguz Tanrikulu

Title: METHODS AND APPARATUS FOR IMPROVING  
THE QUALITY OF SPEECH SIGNALS

Enclosed are the following papers, including those required to receive a filing date under 37 CFR § 1.53(b):

- a. Utility Patent Application Transmittal including Specification (49 pages) and 14 drawing sheets
- b. Fee Transmittal for FY 2004 – in duplicate
- c. Unexecuted Declaration for Utility or Design Patent Application (37 CFR 1.63)  
And Power of Attorney (combined)
- d. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
- e. Certificate of Mailing by “Express Mail”
- f. Express Label No. ET 75 7952 011 US deposited on October 22, 2003
- g. Postage Paid Return Receipt Post Card

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Kenneth J. Rudofski  
Tellabs Operations, Inc.  
1415 West Diehl Road, MS 16  
Naperville, IL 60563

Respectfully submitted,

Kenneth J. Rudofski

Enclosures

Mail Stop Patent Application - Commissioner for Patents  
Docket No. PB 03 0003 Inventor: O.Tanrikulu  
Re: Tellabs Operations, Inc. (Oguz Tanrikulu)  
Title: METHODS AND APPARATUS FOR IMPROVING THE QUALITY OF SPEECH SIGNALS

Sir:

Please place the Patent Office receipt stamp hereon to acknowledge receipt  
of the following papers:

- Utility Patent Application Transmittal including Specification (49 pages)  
and 14 drawing sheets
- Fee Transmittal for FY 2004 - in duplicate
- Unexecuted Declaration for Utility or Design Patent Application  
(37 CFR 1.63) and Power of Attorney (combined)
- Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
- Certificate of Mailing by "Express Mail"  
Express Mail Label No. 75 7952 011 US  
Express Mail Deposit Date: October 22, 2003
- Postage Paid Return Receipt Post Card
- Transmittal Letter
- Fee to be withdrawn from Applicant's Deposit Account

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Express Mail No. ET75 7952 011 US).

Date: 10/22/03

By:

Janet Missig  
Janet Missig